

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James R. Becker

Application No./Patent No.: 10/699,713

Filed/Issue Date: 11/03/2003

Entitled: DRY ICE BLOCK EXTRUDER

Airgas Dry Ice, a a Texas corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1 ☒ the assignee of the entire right, title, and interest; OR
- 2 ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014663, Frame 0009, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-7-05

Date

Todd Craun

Typed or printed name

610-902-6251

Telephone Number

Todd Craun

Signature

Vice President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



**Statement from Russel G. Allen, Jr. Under 37 C.F.R. § 1.48(a)(1)**  
**for Application No. 10/699,713**

I believe that I should be added as an inventor to Application No. 10/699,713. This error in inventorship occurred without deceptive intent on my part. After I learned of the filing of this application, I advised the Assignee, Airgas Dry Ice, that I was a joint inventor with James R. Becker. After much discussion, an agreement was signed between Airgas Dry Ice and me, executed on March 26, 2004. Thereafter, as I understand, the matter was turned over to a new patent attorney, Allan Ratner, Esq., of RatnerPrestia, P.O. Box 980, Valley Forge, Pennsylvania 19482-0980. As I understand, Mr. Ratner did not prepare or file this application. As I further understand, Mr. Ratner received a copy of this application and arranged to have the representation of the application transferred to him, and that he fully studied the matter and the inventorship.

As I further understand, Mr. Ratner contacted my general attorney, Scott V. Allen, Esquire, on July 6, 2004, and stated that in his opinion, I am joint inventor of Application No. 10/699,713, and that he is preparing the necessary papers to add me as a not named inventor.

Respectfully submitted,

Russel G. Allen, Jr.

AR/lk